

## Indicative Draft Results Framework of the GPSA<sup>1</sup>

**Table 1. Indicators Table for GPSA Results Framework**

**DRAFT** September 2, 2020

**The Partnership Development Objective (PDO):**

Original: To contribute to country-level governance reforms and improved service delivery through developing more sustainable and effective CSOs supporting collaborative social accountability initiatives.

Outputs	Potential indicators	Methodological approach	Data Source(s)	Responsibility
<b>Outcomes</b>				
<i>Outcome 1: Civil society partnerships (lead grantee and partners) and relevant public sector counterparts engage in collaborative social accountability processes that include citizens.</i>	Share of grants in which CSO partnerships and relevant, targeted public sector counterparts engage in collaborative social accountability processes.	Independent evaluators of individual grants will assess the extent to which governments and providers collaborate with citizen groups in setting priorities, planning policies, designing programs, and/or managing, delivering, or monitoring service delivery.  Evaluators will validate grantee assessment of quality of processes in biannual technical reports.	Independent evaluation of individual grants, building on inputs from biannual technical reports, ISRs, ICRs  Biannual technical reports	GPSA Secretariat assesses grantee capacity at proposal. Grantees submit timely and complete biannual technical reports, reviewed by GPSA Secretariat and TTL in real time and by evaluator at mid-term and completion.  Independent evaluators assess grantee capacity at mid-term and completion. GPSA responsible for assigning independent evaluations and developing TOR.
<i>Outcome 2: Elements of collaborative social</i>	Share of grants in which governments seek to: (i) apply or sustain elements of	Independent evaluation of individual grants will assess the extent to which governments	Independent evaluation of individual grants,	GPSA Secretariat responsible for assigning independent evaluations and

<sup>1</sup> This draft document was prepared by Jeff Thindwa, Ann-Sofie Jespersen, Brett Libresco, Florencia Guerzovich, Maria Poli, Emilie Fokkelman. The team is grateful to Saad Mekkassi for comments. The team is especially grateful to Participants in the Seventh Annual Grantees' Workshop, November 18th, Thursday and Friday, November 21st - 22nd, 2019 who provided useful input to validate and improve this document, as well as to Jean Benoit Falisse, Linnea Mills, and other project evaluators who explored the validity of this theory of action in specific projects, as the team developed the document.

<p><i>accountability are taken up by governments beyond individual GPSA projects.</i></p>	<p>collaborative social accountability mechanisms after life of the project;</p> <p>(ii) adapt insights from GPSA projects to scale them through programs or policies; or</p> <p>(iii) apply them in additional localities or sectors.</p> <p>Note: this can be done through the government's own reform program, donor-funded programs, or Bank-financed programs.</p> <p>[Target: 25%]</p> <p>Number of reforms in which a GPSA project has played a substantive role</p>	<p>sought to adopt social accountability processes and sectoral lessons beyond individual grants, building on inputs from biannual technical reports</p> <p>Evaluators will use multiple sources in their judgements, including documentation provided by grantees in biannual technical reports, survey of Bank sector teams, and feedback from government and civil society partners. Evaluators will judge whether government expansion of social accountability mechanisms are plausibly linked to GPSA grant and dialogue.</p> <p>Indicative survey questions of Bank Sector teams as input for evaluator:</p> <ul style="list-style-type: none"> <li>- Has any level of government, and/or any public sector institutions, introduced new social accountability mechanisms in your sector besides those supported by GPSA grants?</li> <li>- Has any level of government, and/or any public sector institutions, new social accountability mechanisms in other sectors using insights from the GPSA grant?</li> </ul> <p>Survey and interview questions for grantees such as the following:</p> <ul style="list-style-type: none"> <li>- On which government reforms</li> </ul>	<p>using survey of Bank Sector teams and interviews with government official and civil society partners.</p> <p>ICRs</p> <p>Survey of grantees or representative sample of grantees</p>	<p>developing TOR.</p> <p>Independent evaluators responsible for assessment at completion.</p> <p>GPSA Secretariat responsible for fielding survey to relevant Bank Sector team and reporting results to evaluators and in aggregate.</p> <p>GPSA Secretariat</p>
---	---	---	---	---

		<p>have you been consulted by government (any level), and/or any public sector institutions?</p> <p>- On which government reforms have you advocated a position with government bodies (any level), and/or any public sector institutions ?</p>		
<p><i>Outcome 3:</i></p> <p>Vibrant global partnership advances stronger social accountability community that can deliver collaborative approaches beyond direct GPSA grants</p>	<p>Percentage of participants from the Global South in GPSA forum and other events including hosted by the GPSA Knowledge Platform (distinguishing current and former grantees and non-grantees)</p>	<p>Data aggregated from registration for GPSA forum and learning events</p>	<p>GPSA Forum registration records</p>	<p>GPSA Secretariat</p>
<p><i>Outcome 4:</i></p> <p>Promote learning and knowledge about what works and does not for social accountability</p>	<p>Share of pre-identified global intermediaries of knowledge in the social accountability space (e.g. funders, social accountability and M&amp;E advisors of INGOs, academics) who report that collaborative social accountability has been applied to their model, programs or knowledge</p>	<p>Collect results stories from a sample of global partners</p>	<p>Baseline and methodology developed in programmatic independent evaluation</p>	<p>GPSA Secretariat</p>
<p><i>Outcome 5:</i></p> <p><i>Social accountability mechanisms are used to address obstacles to improving targeted service delivery.</i></p>	<p>Share of GPSA grants in which social accountability mechanisms addressed the proximate cause of service delivery failure</p>	<p>Independent evaluators of individual grants will assess the extent to which social accountability mechanisms helped to address one or more of the following obstacles to service delivery, or other relevant obstacles, given existing service delivery chains</p>	<p>Independent evaluation of individual grants, building on inputs from biannual technical reports and feedback</p>	<p>Grantees responsible for completing biannual technical reports, with TTL input and supervision and with GPSA Secretariat technical assistance</p> <p>GPSA responsible for assigning independent</p>

		<p>and systems and political economy contexts:</p> <ul style="list-style-type: none"> <li>• Poor targeting of government actions to address citizens' needs</li> <li>• Bottlenecks in service delivery chains and public management.</li> <li>• Risks associated with the implementation of interventions</li> <li>• Asymmetries of power and other political risks that undermine the implementation of reforms and policies.</li> <li>• Supported stakeholder alignment</li> </ul> <p>Evaluators will use multiple sources of evidence, including biannual technical reports, interviews with stakeholders, and survey of Bank Sector Teams, relevant sectoral documents, policies and strategies.</p>	<p>from Bank Sector Teams</p> <p>Biannual technical reports, ISRs, ICRs</p> <p>Survey of Bank Sector Teams</p>	<p>evaluations and developing TOR.</p> <p>Independent evaluators responsible for assessment.</p> <p>GPSA responsible for assigning independent evaluations and developing TOR.</p>
<p><i>Outcome 6: Civil society grantees have improved capacity to engage meaningfully and collaboratively in the policy making and implementation and service delivery processes.</i></p>	<p>Share of grantees with improved capacity to engage meaningfully and collaboratively with government.</p> <p>There will be a measure of improvement in service delivery in each project, based on the requirements of each grant.</p>	<p>Independent evaluator assesses capacity at grant closing, and judges change in capacity on a four-point scale:</p> <ul style="list-style-type: none"> <li>- Greatly improved</li> <li>- Improved</li> <li>- Neither improved nor deteriorated</li> <li>- Deteriorated</li> </ul> <p>Evaluators will use initial grant proposal, GPSA team and external expert reviews of initial proposals, and early biannual technical reports to evaluate capacity at entry.</p> <p>Assessment of grantee capacity is made across multiple</p>	<p>Independent evaluator's assessment in comparison to initial GPSA Secretariat assessment at proposal</p>	<p>GPSA Secretariat assesses grantee capacity at proposal.</p> <p>Grantees submit timely and complete biannual technical reports, reviewed by GPSA Secretariat and TTL in real time and by evaluator at mid-term and completion.</p> <p>Independent evaluators assess grantee capacity at mid-term and completion.</p> <p>GPSA responsible for assigning independent evaluations and developing TOR.</p>

		<p>dimensions, including:</p> <p>(1) ability to create and sustain collective action with civil society partners – organizations and citizens (joint problem-solving, relational abilities, responsiveness to context)</p> <p>(2) ability to create and sustain collaboration, coordination, commitment of providers and targeted public sector officials (joint problem-solving, relational abilities, responsiveness to context)</p> <p>(3) organizational and operational capability to manage and implement projects</p> <p>(4) analytical capacities, ability to apply problem-driven approaches for results and other relevant technical competencies</p> <p>(5) adaptability, ability to course correct based on emerging knowledge and learning, new data and information, others’ insights and changes in the context</p> <p>GPSA aggregates and analyzes assessments across portfolio, calculating the percentage of grantees rated to have “improved” or “greatly improved”.</p>		
<p><b>Intermediate results</b></p>				

<p><i>Output 1: Civil society grantees lead multi-stakeholder compacts.</i></p>	<p>Share of grantee-led compacts with involvement from at least 3 fit-for-purpose stakeholder groups</p>	<p>Survey and interview questions for grantees such as the following:</p> <ul style="list-style-type: none"> <li>- Which counterparts did you collaborate with?</li> </ul> <p>Data reported by grantees in biannual technical reports. Assessment of fit-for-purpose, aggregation, and analysis completed by GPSA Secretariat.</p>	<p>Survey of grantees or representative sample of grantees</p> <p>Biannual technical reports</p>	<p>GPSA Secretariat assesses grantee capacity at proposal.</p> <p>Grantees submit timely and complete biannual technical reports, reviewed by GPSA Secretariat and TTL in real time and by evaluator at mid-term and completion.</p> <p>Independent evaluators assess grantee capacity at mid-term and completion.</p> <p>GPSA responsible for assigning independent evaluations and developing TOR.</p>
<p><i>Output 2: World Bank sector teams support meaningful engagement between civil society and government.</i></p>	<p>Number of grants for which World Bank sector teams supported engagement between civil society and government</p>	<p>Survey and interview questions for grantees such as the following:</p> <ul style="list-style-type: none"> <li>- To what extent did the World Bank sector team support engagement between civil society and government?</li> </ul>	<p>Survey of grantees or representative sample of grantees</p> <p>Survey of grantee partners or representative sample of grantee partners</p>	<p>GPSA responsible for collating the documentation and collecting data from grantees through bi-annual technical reports</p> <p>Independent evaluators assess grantee capacity at mid-term and completion.</p> <p>GPSA responsible for assigning independent evaluations and developing TOR.</p>
<p><i>Output 3: Lessons from experience inform GPSA engagement.</i></p>	<p>Share of grants in which lessons learned from other grants informed project design and implementation</p> <p>Share of grants in which lessons learned during implementation informed course corrections</p>	<p>Independent evaluators of individual grants will assess the extent to which relevant lessons have informed project design and course corrections. Evaluators will rate extent of utilization of lessons on a four-point scale using evidence</p>	<p>Independent evaluations for individual grants</p> <p>Bi-annual technical reports, ISRs, ICRs</p>	<p>GPSA Secretariat assesses grantee capacity at proposal.</p> <p>Grantees submit timely and complete biannual technical reports, reviewed by GPSA Secretariat and TTL in real time and by evaluator at mid-term and completion.</p>

	<p>Extent to which GPSA adapts its operational strategies and overall strategy using monitoring, reflection, research, and/or evaluation.</p>	<p>reported in biannual technical reports</p> <p>Independent evaluator of the portfolio will judge the extent to which each of the GPSA's work streams (Operations, Capacity Building and Implementation Support, Knowledge and Learning, Partnerships, Communications, and Strategic Management) is able to justify its adaptive learning on a four-point scale:</p> <ul style="list-style-type: none"> <li>- Well-Justified</li> <li>- Justified</li> <li>- Poorly Justified</li> <li>- Unjustified</li> </ul> <p>GPSA team will self-report in updates to the program document as well as required reports to World Bank Management, Steering Committee, funding proposals and GPSA Partners' Forum</p>	<p>Independent evaluation</p> <p>Documents, Presentations of GPSA updates, funding proposals</p>	<p>Independent evaluators assess grantee capacity at mid-term and completion.</p> <p>GPSA responsible for assigning independent evaluations and developing TOR.</p> <p>GPSA Secretariat and independent evaluator</p> <p>GPSA Secretariat</p>
--	---	--	--	---